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FORM PTO-1585
(Rev. 6-93)

REC. JUN 20 2002

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OMB No. 0551-0011 (exp. 4/04)

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PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Paul L. HALLENBECK, Cheauyun Theresa
CHEN

2. Name and address of receiving party(ies)

Name: Novartis AG

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: June 20, 2002; June 19, 2002Street Address: Schwarzwaldallee 215City: Basel State: Switzerland ZIP: 4058Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

02/573,038

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Thomas HoxieInternal Address: Novartis CorporationPatent and Trademark Dept.Street Address: 584 Morris AvenueCity: Summit State: NJ ZIP: 07901-10276. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

8. Deposit account number:

18-0134 (in the name of Novartis Corporation)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

J. Timothy MeigsName of Person Signing
Reg. No. 38,241

Signature

June 20, 2002

Date

☐ Certificate of mailing on reverse sideTotal number of pages including cover sheet, attachments, and document: 3Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20541

J. Timothy Meigs
Sector Patent Counsel

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GENETIC THERAPY, INC.
A Novartis Company

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Attention Examiner Joseph Woitach, Art Unit 1632
Fax no. 703-308-4242
Number of pages 8
Date December 16, 2002

ORIGINAL

RE: 09/373,938
Request For Continued Examination (RCE) Transmittal

Dear Examiner Woitach,

Attached for filing in Application No. 09/373,938 are the following:

1. Request for Continued Examination (RCE) under 37 CFR 1.114, including a request to consider the Amendment under 37 CFR 1.116 filed on November 22, 2002, and the Declaration of Prior Invention under 37 CFR 1.131 included with said amendment.
2. Copy of the Revocation of Power of Attorney / Power of Attorney and Change of Address previously mailed on July 18, 2002. Please note that the new correspondence address is the following:

Tom Hoxie
Novartis Corporation
564 Morris Avenue
Summit, NJ 07901-1027.

Respectfully submitted,

J. Timothy Meigs
Attorney for Applicant
Reg. No. 38,241

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PTO/SB/30 (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Address to:
Commissioner for Patents
Box RCE
Washington, DC 20231

Application Number	09/373,938
Filing Date	August 13, 1999
First Named Inventor	Hallenbeck
Art Unit	1632
Examiner Name	Woitach, Joseph
Attorney Docket Number	4-30899P1

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. Submission required under 37 CFR 1.114

a. ☒ Previously submitted

- i. ☒ Consider the amendment(s)/reply under 37 CFR 1.116 previously filed on November 22, 2002
(Any unentered amendment(s) referred to above will be entered).
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
- iii. ☒ Other Declaration of Prior Invention Under 37 CFR 1.131

b. ☒ Enclosed

- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (IDS)
- iv. ☒ Other Copy of Revocation of Power of Attorney/Power of Attorney and Change of Address mailed July 18, 2002

2. Miscellaneous

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other _____

3. Fees

The RCE fee under 37 CFR 1.17(a) is required by 37 CFR 1.114 when the RCE is filed.

- a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 19-0134
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other _____

- b. ☐ Check in the amount of \$ _____ enclosed

- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	J. Timothy Meigs	Registration No. (Attorney/Agent)	38,241
Signature	<i>J. Timothy Meigs</i>	Date	December 16, 2002

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	J. Timothy Meigs	Date	December 16, 2002
Signature	<i>J. Timothy Meigs</i>		

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND Fees and Completed Forms to the following address: Assistant Commissioner for Patents, Box RCE, Washington, DC 20231.